



DOCKET NO. HARR0032-101

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Lawrence S. Young, Kai S. Lipinski and Christopher J. Wrighton

Serial No.: 10/607,479

Group Art Unit: Not Yet Assigned

Filing Date: June 26, 2003

Examiner: Not Yet Assigned

For: GENE EXPRESSION USING T CELL FACTOR RESPONSIVE ELEMENT

I, Doreen Yatko Trujillo, Registration No. 35,719 certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

On October 30, 2003  
Doreen Yatko Trujillo  
Doreen Yatko Trujillo, Reg. No. 35,719

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**COMMUNICATION CONCERNING NON-RECEIPT OF  
OFFICIAL FILING RECEIPT**

This communication is being filed to request an official Filing Receipt in the above-identified patent application. Enclosed please find a copy of the Continuation Utility Patent Application Transmittal filed by Express Mail on June 26, 2003. Also enclosed is a copy of the "stamped" return postcard acknowledging receipt of the application and that the U.S. Patent Office has provided this application with Serial No. 10/607,479, filed on June 26, 2003.

To date, however, the Applicants have not received an official Filing Receipt in the above-identified patent application. Applicants respectfully request that an official Filing

Receipt bearing Application Serial No. 10/607,479, with Docket No. HARR0032-101 be forwarded to the undersigned. Applicants also respectfully requests that the enclosed documents be acknowledged and that the records be updated accordingly.

Respectfully submitted,

Dated:

October 30, 2003



**Doreen Yatko Trujillo**  
**Registration No. 35,719**

COZEN O'CONNOR, P.C.  
1900 Market Street, 6<sup>th</sup> Floor  
Philadelphia, PA 19103-3508  
(215) 665-5593 - Telephone  
(215) 701-2005 - Facsimile



RECEIVED IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

**Paper:** Continuation Utility Patent Application Transmittal (in duplicate) (w/Express Mail sticker); Continuation Utility Patent Application (total pages 1-53) from Prior Application (HARR0032-100)(CACO-0064) which includes: Specification on Pages 1-53, Claims on Pages 47-52, Abstract on Page 53; Twenty-Three Sheets of Formal Drawings (Figs 1(a)-18); **Copy of Executed Declaration and Power of Attorney** from Prior Application Serial No. 09/798,128, Filing Date March 21, 2001; Reference to Computer Readable Form; Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§1.821 through 1.825; **Paper Copy of Sequence Listing** From Prior Application; Authorization to Charge Fee of \$1,010.00 to Deposit Account No. 50-1275; Return Postcard all via Express Mail No. EV147 612 493US

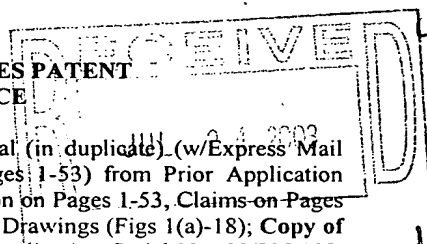
**Applicant(s):** Lawrence S. Young, Kai S. Lipinski and Christopher J. Wrighton  
**Title:** GENE EXPRESSION USING T CELL FACTOR RESPONSIVE ELEMENT  
**Serial No.:** Not Yet Assigned  
**Filed:** June 26, 2003

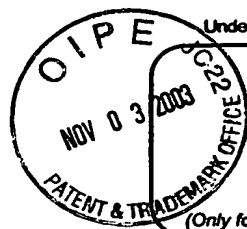
**Docket No.:** HARR0032-101 (Cont. of HARR0032-101 (CACO-0064)) (DG/P21306US3)  
**Date Sent:** June 26, 2003 DYTrujillo/Hope Graves

RECEIVED IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

**Paper:** Continuation Utility Patent Application Transmittal (in duplicate) (w/Express Mail sticker); Continuation Utility Patent Application (total pages 1-53) from Prior Application (HARR0032-100)(CACO-0064) which includes: Specification on Pages 1-53, Claims on Pages 47-52, Abstract on Page 53; Twenty-Three Sheets of Formal Drawings (Figs 1(a)-18); **Copy of Executed Declaration and Power of Attorney** from Prior Application Serial No. 09/798,128, Filing Date March 21, 2001; Reference to Computer Readable Form; Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§1.821 through 1.825; **Paper Copy of Sequence Listing** From Prior Application; Authorization to Charge Fee of \$1,010.00 to Deposit Account No. 50-1275; Return Postcard all via Express Mail No. EV147 612 493US

**Applicant(s):** Lawrence S. Young, Kai S. Lipinski and Christopher J. Wrighton  
**Title:** GENE EXPRESSION USING T CELL FACTOR RESPONSIVE ELEMENT  
**Serial No.:** Not Yet Assigned  
**Filed:** June 26, 2003  
**Docket No.:** HARR0032-101 (Cont. of HARR0032-101 (CACO-0064)) (DG/P21306US3)  
**Date Sent:** June 26, 2003 DYTrujillo/Hope Graves





Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for release through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark OfficePTO/SB/05 (05-03)  
U.S. DEPARTMENT OF COMMERCE**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. HARR0032-101

First Inventor Lawrence S. Young et al.

Title GENE EXPRESSION USING T CELL FACTOR RESPONSIVE  
ELEMENT

Express Mail Label No. EV147 612 493 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 53)  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the invention  
- Brief Summary of the invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 23)
5. Oath or Declaration (Total Sheets 04)  
a. ☐ Newly executed (original or copy)  
b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☒ Reference To Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☒ paper  
c. ☒ Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Statement ☒ Copy Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s) Were Received  
in Prior Application Serial No. 09/798,128, Filed March 21, 2001  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/798,128, Filed: March 21, 2001, which claims priority under 35 U.S.C. § 119(a)-(d) of United Kingdom Application No. 0005099.7, filed March 2, 2000 and also claims the benefit under 35 U.S.C. § 119(e) of U.S. Application Serial No. 60/187,465, filed March 6, 2000, and claims benefit under 35 U.S.C. § 119(a)-(d) of PCT Application No. PCT/GB01/00856, filed March 1, 2001, each of which is incorporated by reference herein in their entireties.

Prior application information:

Examiner Scott D. Priebe

Art Unit: 1632

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

34139

or ☐ Correspondence address below

(Insert Customer Number or Bar Code Label here)

Name

34139

PATENT TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Doreen Yatko Trujillo

Registration No. (Attorney/Agent)

35,719

Signature

Date

June 26, 2003

EV147612493US